

I S GUPTA & CO.

Company Secretary

Isha Sumit Gupta
B Com, LLB, FCS

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**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2016.

We have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Shubhra Leasing Finance and Investment Company Limited** maintained by **Purva Sharegistry (India) Private Limited** pertaining to transfer of Equity Shares of the company for the period from **01st April, 2016** to **30th September, 2016** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India EBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, we hereby certify that during half year ended **30th September, 2016**:

- A) The Company **has not received** any requests for transfer of Shares held in physical form during the period from **01st April, 2016** to **30th September, 2016** and hence question of delivery of the Share Certificate(s) related to the Share Transfer Deeds received as entered in the Memorandum of Transfers within fifteen days from respective date of lodgment of each deed excepting those rejected on technical grounds does not arise.
- B) The Company **has not received** any requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies during the period from **01st April, 2016** to **30th September, 2016** and hence question of delivery of the Share Certificates in respect for the same does not arise.

For I. S. Gupta & Co.
Company Secretaries


Isha Sumit Gupta
Proprietor
Mem. No. 7605
C. P. No. 8160



Place: Mumbai
Date: 20/10/2016